DEPARTMENT OF THE NAVY



OFFICE OF NAVAL RESEARCH 875 NORTH RANDOLPH STREET ARLINGTON VA 22203-1995

IN REPLY REFER TO 5720 22-20 February 8, 2022

Mr. Charles Jacobson Wolfspeed, Inc. 4600 Silicon Drive Durham, NC. 27703

Subj: FOIA Request DON-NAVY-2022-002221

Dear Mr. Jacobson,

The Office of Naval Research (ONR) received your Freedom of Information Act (FOIA) request on December 8, 2021. Your request was assigned ONR tracking number DON-NAVY-2022-002221. You requested any and all documents, including the contract, contract modifications, reports, invention information, patent information, and proposal, related to contract N00014-05-1-0437, awarded to Purdue University. A copy of your request is included with this letter.

Your request is granted in full. We have located 558 pages that are responsive to your request. A search was conducted of the Defense Technical Information Center (DTIC) for any technical reports for contract N00014-05-0437, and we located one technical report. We requested the entire archived grant file and have scanned the entire grant file as responsive to this request. We are releasing 21 pages in part and 537 pages in their entirety. Due to the size of the files we will be sending them through the DoD SAFE website. You will receive an automated email with a link to download your records.

Some of those pages have been redacted under Exemption 6 of the FOIA, codified at 5 U.S.C. §552(b)(6) and Exemption 4 of the FOIA, codified at 5 U.S.C. §552(b)(4). Since you agreed to receive clearly releasable information, we do not consider this a partial denial.

FOIA Exemption 6 allows for withholding personal information, the release of which would result in a clearly unwarranted invasion of personal privacy. Under this exemption, we are withholding certain handwritten signatures.

FOIA Exemption 4 allows for the withholding of trade secrets and commercial or financial information obtained from a person that is privileged or confidential. Under this exemption, we are withholding some dollar amounts and percentages.

You have the right to an appeal. Your appeal must be received within 90 calendar days from the date of this letter. Please provide the appellate authority (see below) the following in an envelope marked "FOIA appeal":

- A letter requesting an appeal that explains what you are appealing with any supporting arguments or reasons you think may be worthy of consideration;
- A copy of your initial request;
- A copy of the letter of denial.

There are two ways to file an appeal: through FOIAOnline or by mail.

1. Through FOIAOnline

You can only file an appeal through FOIAOnline if you originally filed your request through FOIAOnline and had or created an account in FOIAOnline at the time you filed your request. If you have a FOIAOnline account, log on and enter the tracking number of your request, or a keyword, in the "Search for" field. Once you find your request, click on it then on the "Create Appeal" tab in the left hand column and follow the prompts. Be sure to click "Save" when finished. FOIAOnline will then submit your appeal.

If you filed your request through FOIAOnline but do not have an account, go to FOIAOnline.gov, click the "Create Account" link in the blue banner in the upper right corner, enter your data and click "Save" at the bottom left. Once you have created an account, you may use FOIAOnline to appeal any future requests you file through FOIAOnline.

You do not need to use FOIAOnline to file an appeal; you can always mail in your appeal. If you did not file your FOIA request originally through FOIAOnline, however, you must mail in your appeal.

2. By mail.

You can mail in your appeal even if you have a FOIAOnline account and filed your FOIA request originally through FOIAOnline. But, if you did not file your FOIA request originally through FOIAOnline, you must mail in your appeal. Date your appeal letter and place it, along with a copy of your initial request and a copy of the letter of denial, in an envelope marked "Freedom of Information Act Appeal." There is no standard format for an appeal letter, but we encourage (but do not require) you to explain in your letter why you believe our denial or redactions were inappropriate or our search was inadequate. Affix sufficient postage and address your appeal to:

Department of the Navy Office of the General Counsel 1000 Navy Pentagon, Room 5A532 Washington, DC 20350-1000

Please also provide a copy of your appeal letter to ONR at:

Office of Naval Research ATTN: FOIA Officer Room 617 875 North Randolph St. Arlington, VA 22203 I, the undersigned, have been delegated Initial Denial Authority for the purpose of this letter. If you have questions, please contact the ONR FOIA Officer at onrfoia@navy.mil or (703) 588-2968. Please reference DON-NAVY-2022-002221 in any correspondence discussing this case. You may also contact the DON FOIA Public Liaison, Christopher Julka, at christopher.a.julka@navy.mil, or (703)697-0031.

Sincerely,

MELISSA MILLS

By direction